

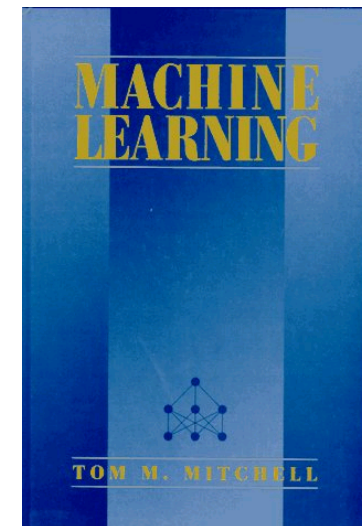
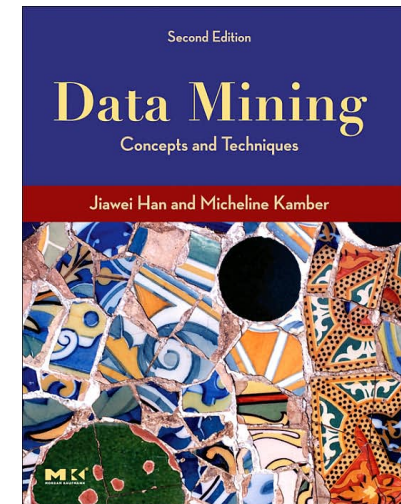


# Data Exploration and Preparation

Data Mining and Text Mining (UIC 583 @ Politecnico di Milano)

# References

- ❑ Jiawei Han and Micheline Kamber, "Data Mining, : Concepts and Techniques", The Morgan Kaufmann Series in Data Management Systems (Second Edition)
- ❑ Tom M. Mitchell. "Machine Learning" McGraw Hill 1997
- ❑ Pang-Ning Tan, Michael Steinbach, Vipin Kumar, "Introduction to Data Mining", Addison Wesley
- ❑ Ian H. Witten, Eibe Frank. "Data Mining: Practical Machine Learning Tools and Techniques with Java Implementations" 2<sup>nd</sup> Edition



# Iris Sample Data Set

- ❑ Many of the exploratory data techniques are illustrated with the Iris Plant data set <http://www.ics.uci.edu/~mlearn/MLRepository.html>
- ❑ From the statistician Douglas Fisher
  - ▶ Three flower types (classes): Setosa, Virginica, Versicolour
  - ▶ Four (non-class) attributes, sepal width and length, petal width and length



Virginica. Robert H. Mohlenbrock. USDA NRCS. 1995. Northeast wetland flora: Field office guide to plant species. Northeast National Technical Center, Chester, PA. Courtesy of USDA NRCS Wetland Science Institute.

# Outline



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- ❑ Data Exploration
  - ▶ Descriptive statistics
  - ▶ Visualization
  
- ❑ Data Preprocessing
  - ▶ Aggregation
  - ▶ Sampling
  - ▶ Dimensionality Reduction
  - ▶ Feature creation
  - ▶ Discretization
  - ▶ Concept hierarchies

# Data Exploration

# What is data exploration?

- ❑ A preliminary exploration of the data to better understand its characteristics.
  
- ❑ Key motivations of data exploration include
  - ▶ Helping to select the right tool for preprocessing or analysis
  - ▶ Making use of humans' abilities to recognize patterns
  - ▶ People can recognize patterns not captured by data analysis tools
  
- ❑ Related to the area of Exploratory Data Analysis (EDA)
  - ▶ Created by statistician John Tukey
  - ▶ Seminal book is Exploratory Data Analysis by Tukey
  - ▶ A nice online introduction can be found in Chapter 1 of the NIST Engineering Statistics Handbook
  - ▶ <http://www.itl.nist.gov/div898/handbook/index.htm>

# Techniques Used In Data Exploration



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- ❑ In EDA, as originally defined by Tukey
  - ▶ The focus was on visualization
  - ▶ Clustering and anomaly detection were viewed as exploratory techniques
  - ▶ In data mining, clustering and anomaly detection are major areas of interest, and not thought of as just exploratory
  
- ❑ In our discussion of data exploration, we focus on
  - ▶ Summary statistics
  - ▶ Visualization

# Summary Statistics



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- ❑ They are numbers that summarize properties of the data
- ❑ Summarized properties include frequency, location and spread
- ❑ Examples
  - ▶ Location, mean
  - ▶ Spread, standard deviation
- ❑ Most summary statistics can be calculated in a single pass through the data



# Frequency and Mode



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- ❑ The frequency of an attribute value is the percentage of time the value occurs in the data set
- ❑ For example, given the attribute 'gender' and a representative population of people, the gender 'female' occurs about 50% of the time.
- ❑ The mode of an attribute is the most frequent attribute value
- ❑ The notions of frequency and mode are typically used with categorical data

# Percentiles

- ❑ For continuous data, the notion of a percentile is more useful
- ❑ Given an ordinal or continuous attribute  $x$  and a number  $p$  between 0 and 100, the  $p$ th percentile is a value  $x_p$  of  $x$  such that  $p\%$  of the observed values of  $x$  are less than  $x_p$
- ❑ For instance, the 50th percentile is the value  $x_{50\%}$  such that 50% of all values of  $x$  are less than  $x_{50\%}$

## Measures of Location: Mean and Median

- The mean is the most common measure of the location of a set of points
- However, the mean is very sensitive to outliers
- Thus, the median or a trimmed mean is also commonly used

$$\text{mean}(x) = \bar{x} = \frac{1}{m} \sum_{i=1}^m x_i$$

$$\text{median}(x) = \begin{cases} x_{(r+1)} & \text{if } m \text{ is odd, i.e., } m = 2r + 1 \\ \frac{1}{2}(x_{(r)} + x_{(r+1)}) & \text{if } m \text{ is even, i.e., } m = 2r \end{cases}$$

## Measures of Spread: Range and Variance

- ❑ Range is the difference between the max and min
- ❑ The variance or standard deviation is the most common measure of the spread of a set of points

$$\text{variance}(x) = s_x^2 = \frac{1}{m-1} \sum_{i=1}^m (x_i - \bar{x})^2$$

- ❑ However, this is also sensitive to outliers, so that other measures are often used.

$$\text{AAD}(x) = \frac{1}{m} \sum_{i=1}^m |x_i - \bar{x}|$$

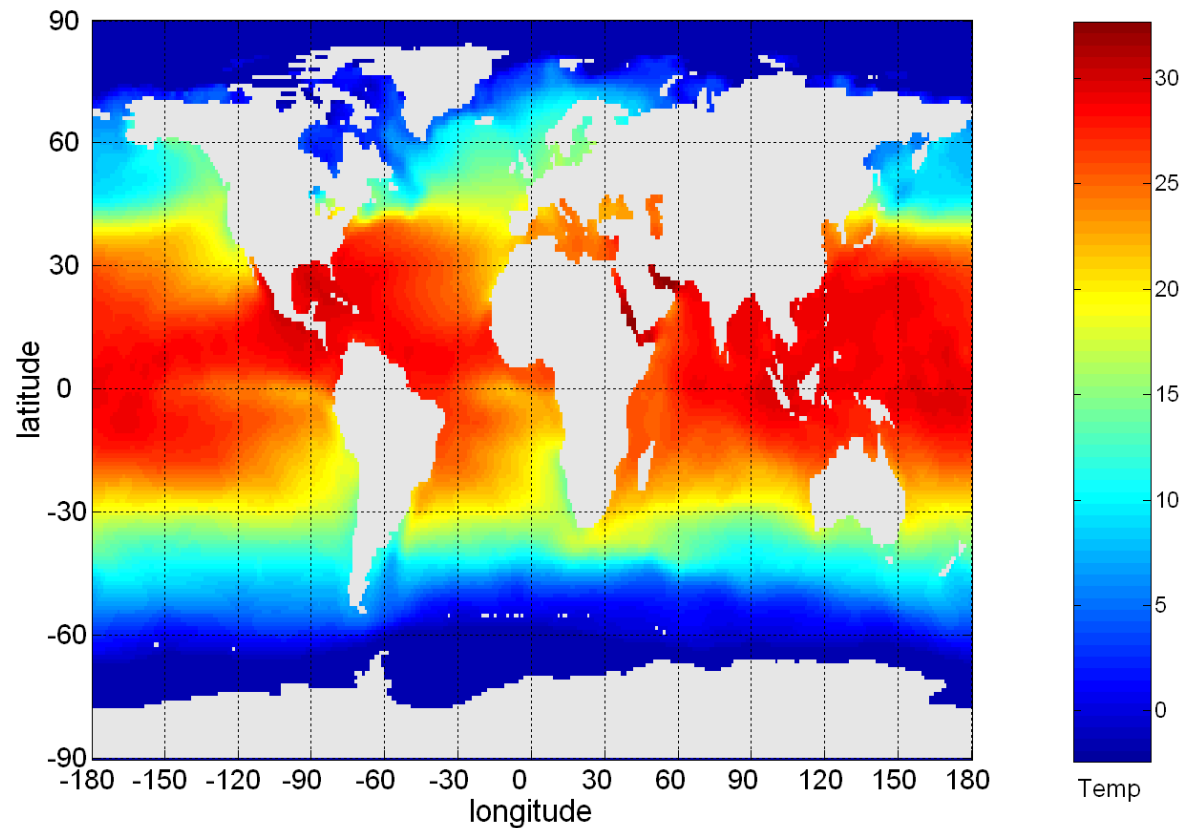
$$\text{MAD}(x) = \text{median}\left(\{|x_1 - \bar{x}|, \dots, |x_m - \bar{x}|\}\right)$$

$$\text{interquartile range}(x) = x_{75\%} - x_{25\%}$$

- ❑ Visualization is the conversion of data into a visual or tabular format so that the characteristics of the data and the relationships among data items or attributes can be analyzed or reported
  
- ❑ Visualization of data is one of the most powerful and appealing techniques for data exploration
  - ▶ Humans have a well developed ability to analyze large amounts of information that is presented visually
  - ▶ Can detect general patterns and trends
  - ▶ Can detect outliers and unusual patterns

# Example: Sea Surface Temperature

- ❑ The following shows the Sea Surface Temperature for July 1982
- ❑ Tens of thousands of data points are summarized in a single figure



# Representation



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- ❑ Is the mapping of information to a visual format
  
- ❑ Data objects, their attributes, and the relationships among data objects are translated into graphical elements such as points, lines, shapes, and colors
  
- ❑ Example:
  - ▶ Objects are often represented as points
  - ▶ Their attribute values can be represented as the position of the points or the characteristics of the points, e.g., color, size, and shape
  - ▶ If position is used, then the relationships of points, i.e., whether they form groups or a point is an outlier, is easily perceived.

# Arrangement

- ❑ Is the placement of visual elements within a display
- ❑ Can make a large difference in how easy it is to understand the data
- ❑ Example:

|   | 1 | 2 | 3 | 4 | 5 | 6 |
|---|---|---|---|---|---|---|
| 1 | 0 | 1 | 0 | 1 | 1 | 0 |
| 2 | 1 | 0 | 1 | 0 | 0 | 1 |
| 3 | 0 | 1 | 0 | 1 | 1 | 0 |
| 4 | 1 | 0 | 1 | 0 | 0 | 1 |
| 5 | 0 | 1 | 0 | 1 | 1 | 0 |
| 6 | 1 | 0 | 1 | 0 | 0 | 1 |
| 7 | 0 | 1 | 0 | 1 | 1 | 0 |
| 8 | 1 | 0 | 1 | 0 | 0 | 1 |
| 9 | 0 | 1 | 0 | 1 | 1 | 0 |

|   | 6 | 1 | 3 | 2 | 5 | 4 |
|---|---|---|---|---|---|---|
| 4 | 1 | 1 | 1 | 0 | 0 | 0 |
| 2 | 1 | 1 | 1 | 0 | 0 | 0 |
| 6 | 1 | 1 | 1 | 0 | 0 | 0 |
| 8 | 1 | 1 | 1 | 0 | 0 | 0 |
| 5 | 0 | 0 | 0 | 1 | 1 | 1 |
| 3 | 0 | 0 | 0 | 1 | 1 | 1 |
| 9 | 0 | 0 | 0 | 1 | 1 | 1 |
| 1 | 0 | 0 | 0 | 1 | 1 | 1 |
| 7 | 0 | 0 | 0 | 1 | 1 | 1 |



# Selection

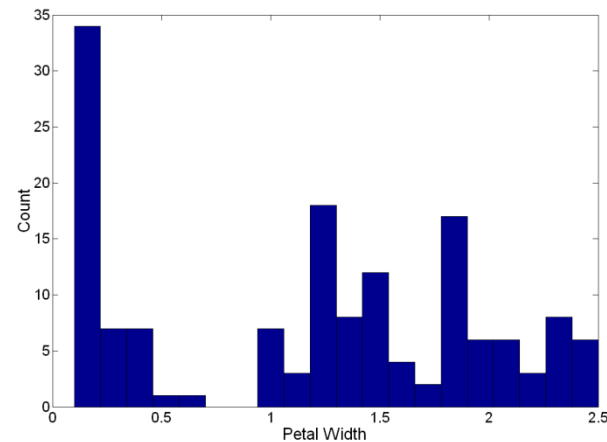
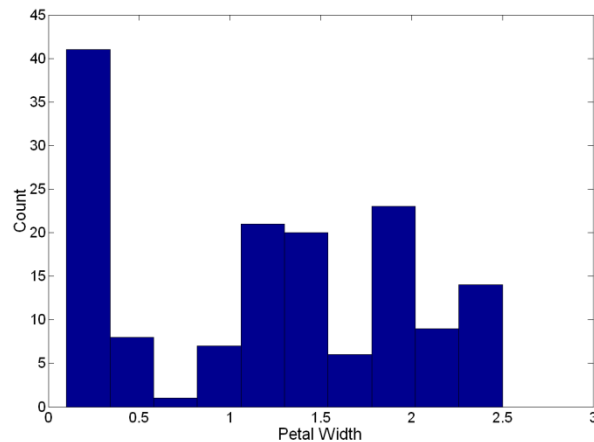
- ❑ Is the elimination or the de-emphasis of certain objects and attributes
- ❑ Selection may involve choosing a subset of attributes
  - ▶ Dimensionality reduction is often used to reduce the number of dimensions to two or three
  - ▶ Alternatively, pairs of attributes can be considered
- ❑ Selection may also involve choosing a subset of objects
  - ▶ A region of the screen can only show so many points
  - ▶ Can sample, but want to preserve points in sparse areas

# Visualization Techniques: Histograms

## □ Histogram

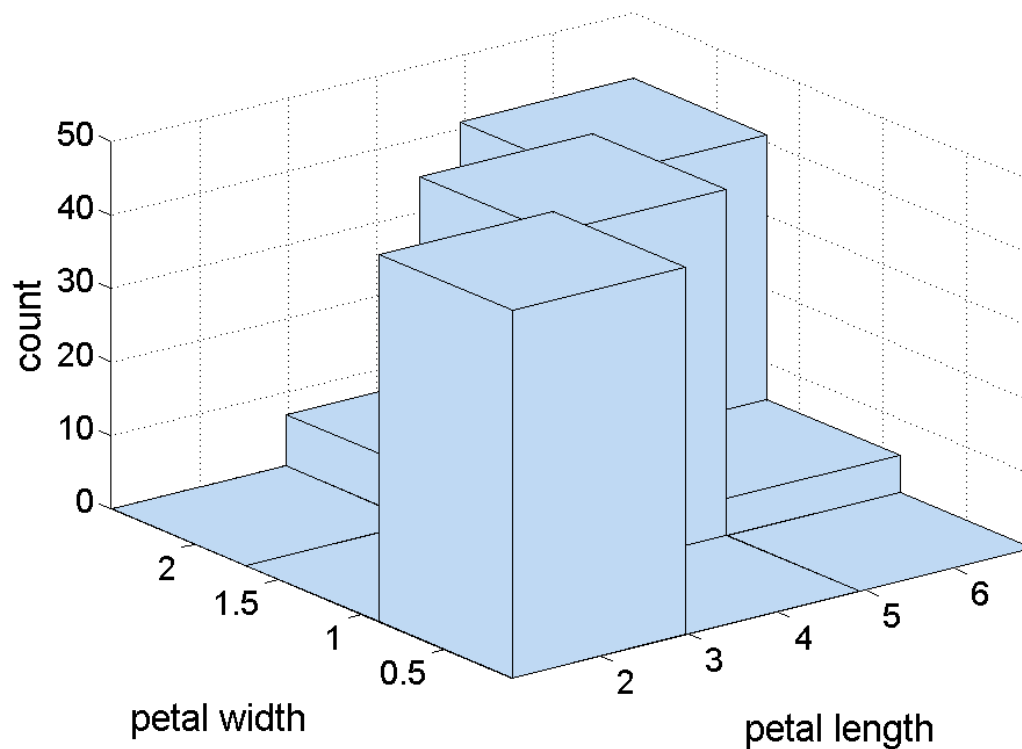
- ▶ Usually shows the distribution of values of a single variable
- ▶ Divide the values into bins and show a bar plot of the number of objects in each bin.
- ▶ The height of each bar indicates the number of objects
- ▶ Shape of histogram depends on the number of bins

## □ Example: Petal Width (10 and 20 bins, respectively)



# Two-Dimensional Histograms

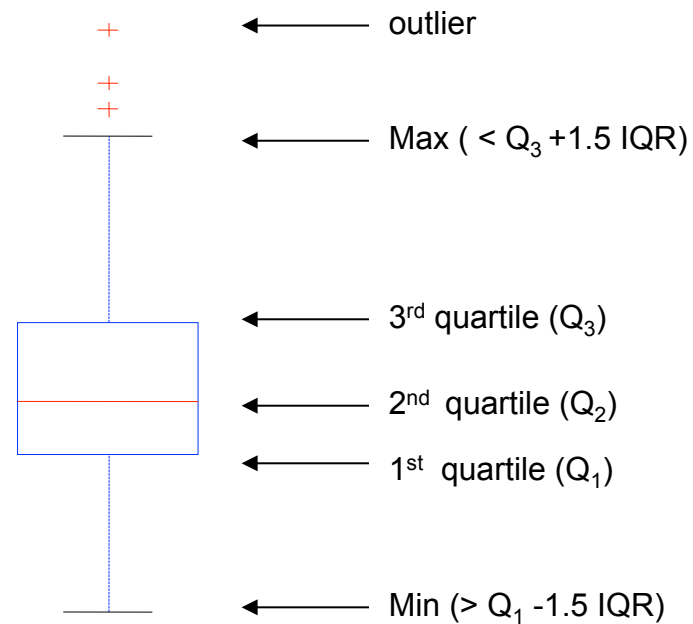
- Show the joint distribution of the values of two attributes
- Example: petal width and petal length
  - ▶ What does this tell us?



# Visualization Techniques: Box Plots

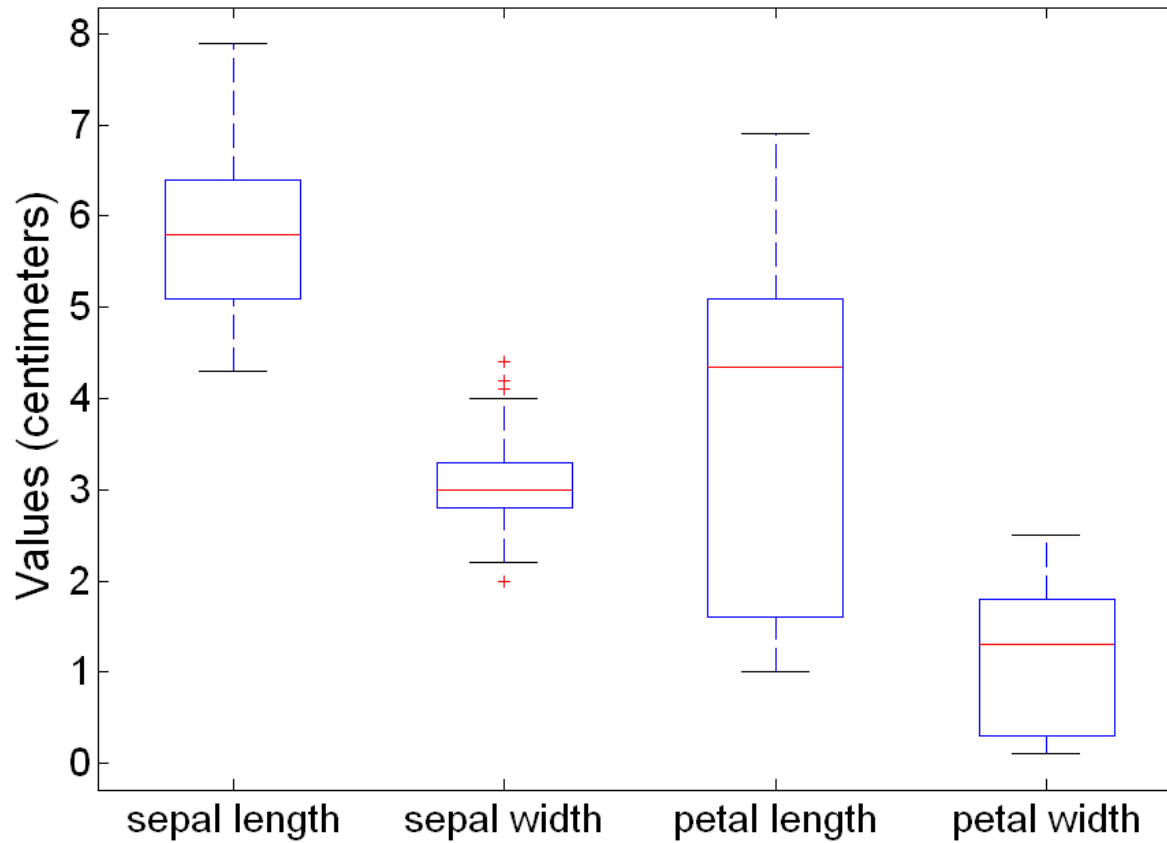
## □ Box Plots

- ▶ Invented by J. Tukey
- ▶ Another way of displaying the distribution of data
- ▶ Following figure shows the basic part of a box plot



# Example of Box Plots

- Box plots can be used to compare attributes



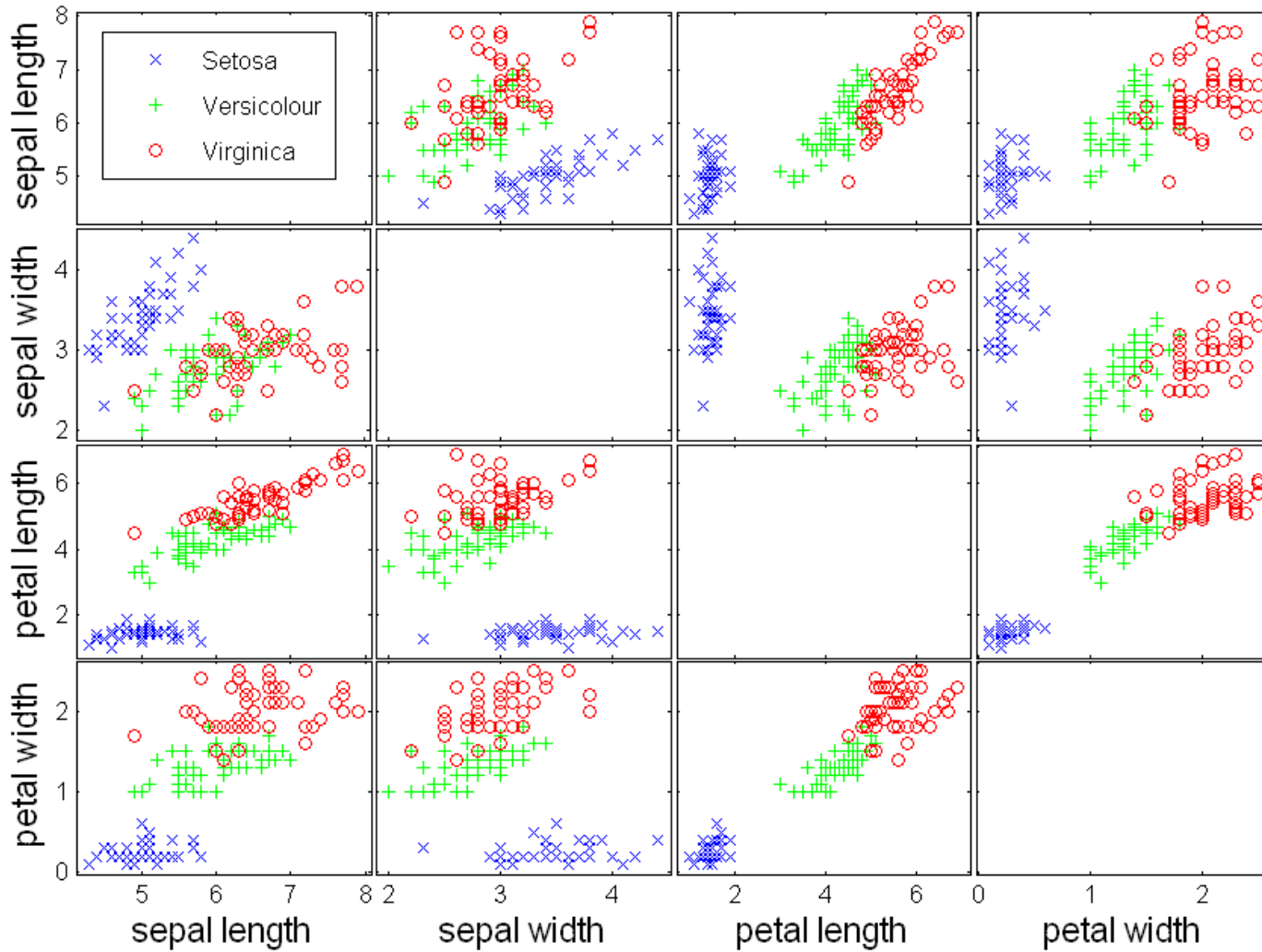
# Visualization Techniques: Scatter Plots



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- ❑ Attributes values determine the position
- ❑ Two-dimensional scatter plots most common, but can have three-dimensional scatter plots
- ❑ Often additional attributes can be displayed by using the size, shape, and color of the markers that represent the objects
- ❑ It is useful to have arrays of scatter plots can compactly summarize the relationships of several pairs of attributes

# Scatter Plot Array of Iris Attributes



## Visualization Techniques: Contour Plots

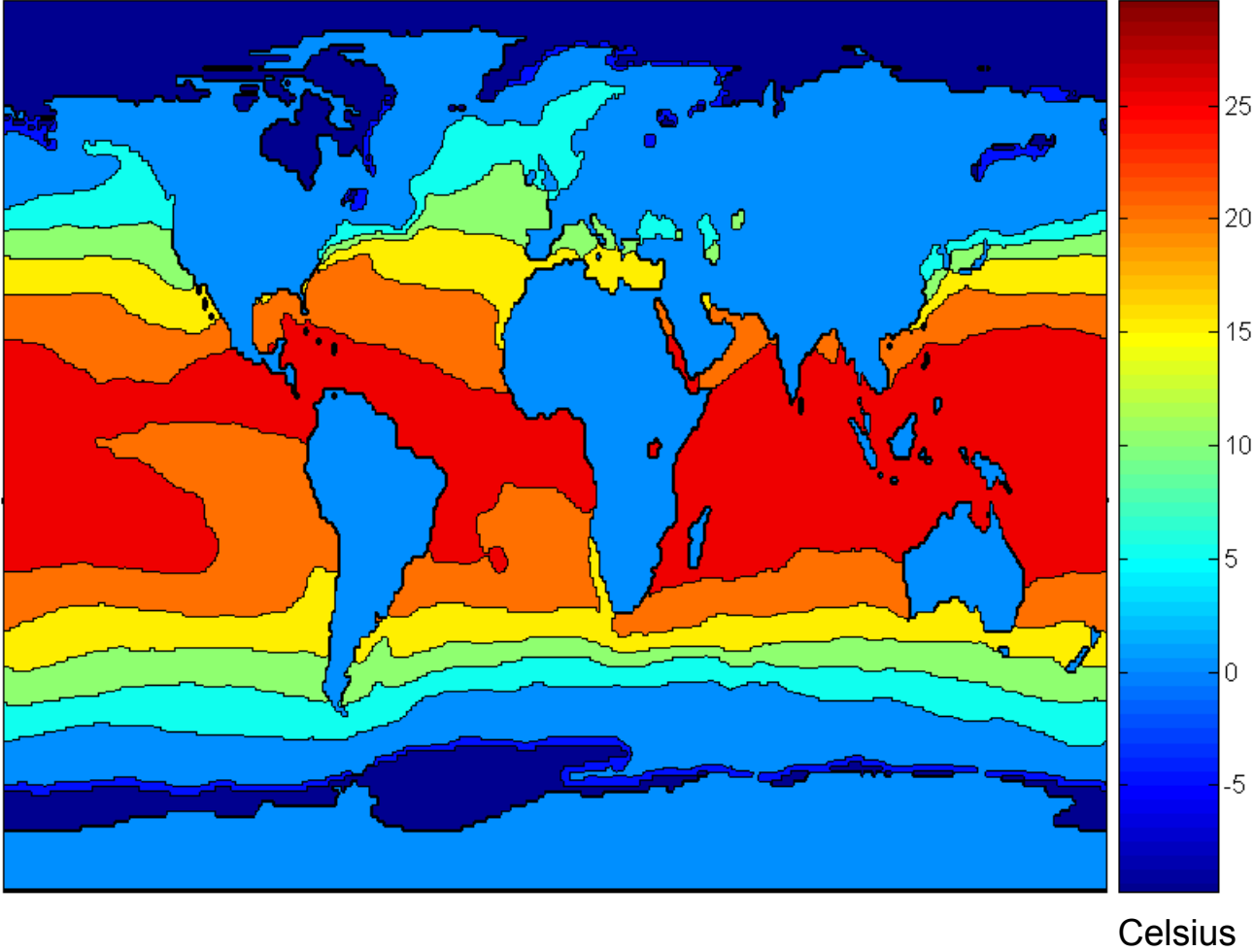


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- ❑ Useful when a continuous attribute is measured on a spatial grid
- ❑ They partition the plane into regions of similar values
- ❑ The contour lines that form the boundaries of these regions connect points with equal values
- ❑ The most common example is contour maps of elevation
- ❑ Can also display temperature, rainfall, air pressure, etc.



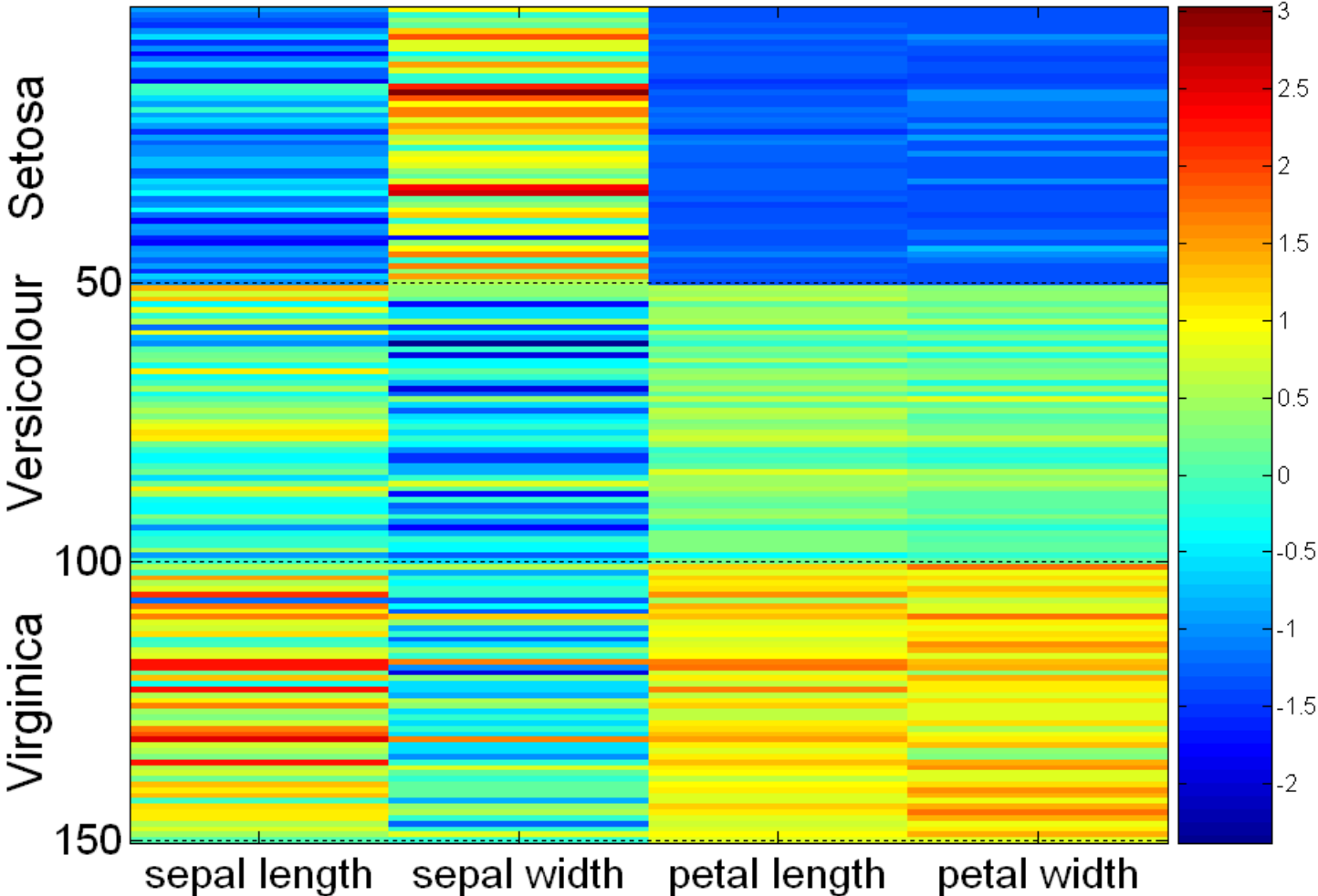
# Contour Plot Example: SST Dec, 1998



# Visualization Techniques: Matrix Plots

- ❑ Can plot the data matrix
- ❑ This can be useful when objects are sorted according to class
- ❑ Typically, the attributes are normalized to prevent one attribute from dominating the plot
- ❑ Plots of similarity or distance matrices can also be useful for visualizing the relationships between objects

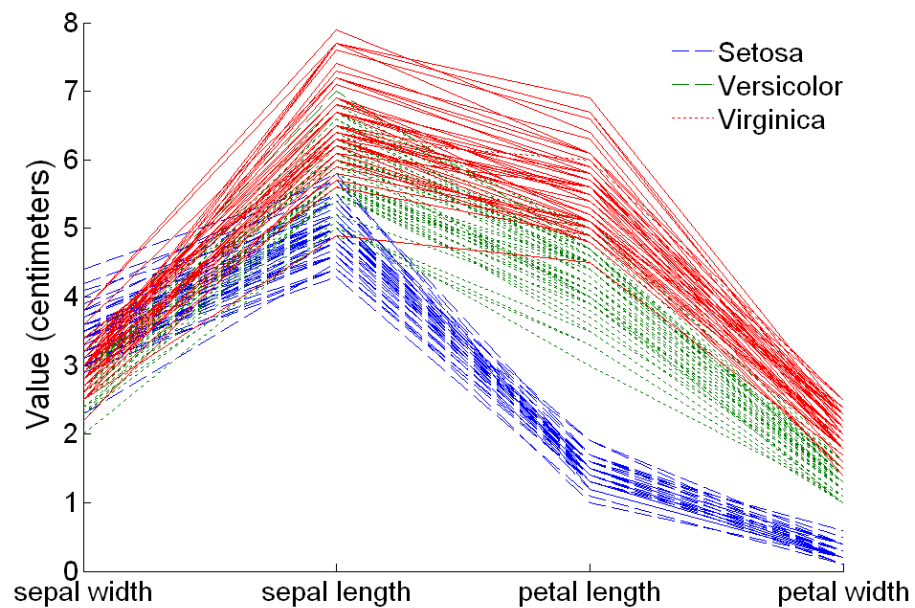
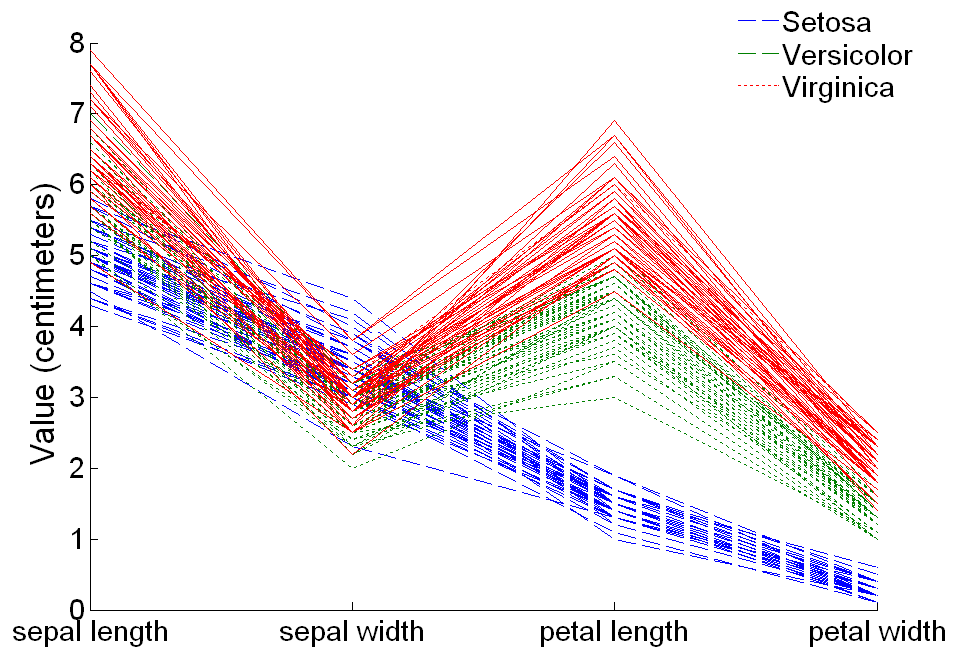
# Visualization of the Iris Data Matrix



## Visualization Techniques: Parallel Coordinates

- ❑ Used to plot the attribute values of high-dimensional data
- ❑ Instead of using perpendicular axes, use a set of parallel axes
- ❑ The attribute values of each object are plotted as a point on each corresponding coordinate axis and the points are connected by a line
- ❑ Thus, each object is represented as a line
- ❑ Often, the lines representing a distinct class of objects group together, at least for some attributes
- ❑ Ordering of attributes is important in seeing such groupings

# Parallel Coordinates Plots for Iris Data



# Other Visualization Techniques



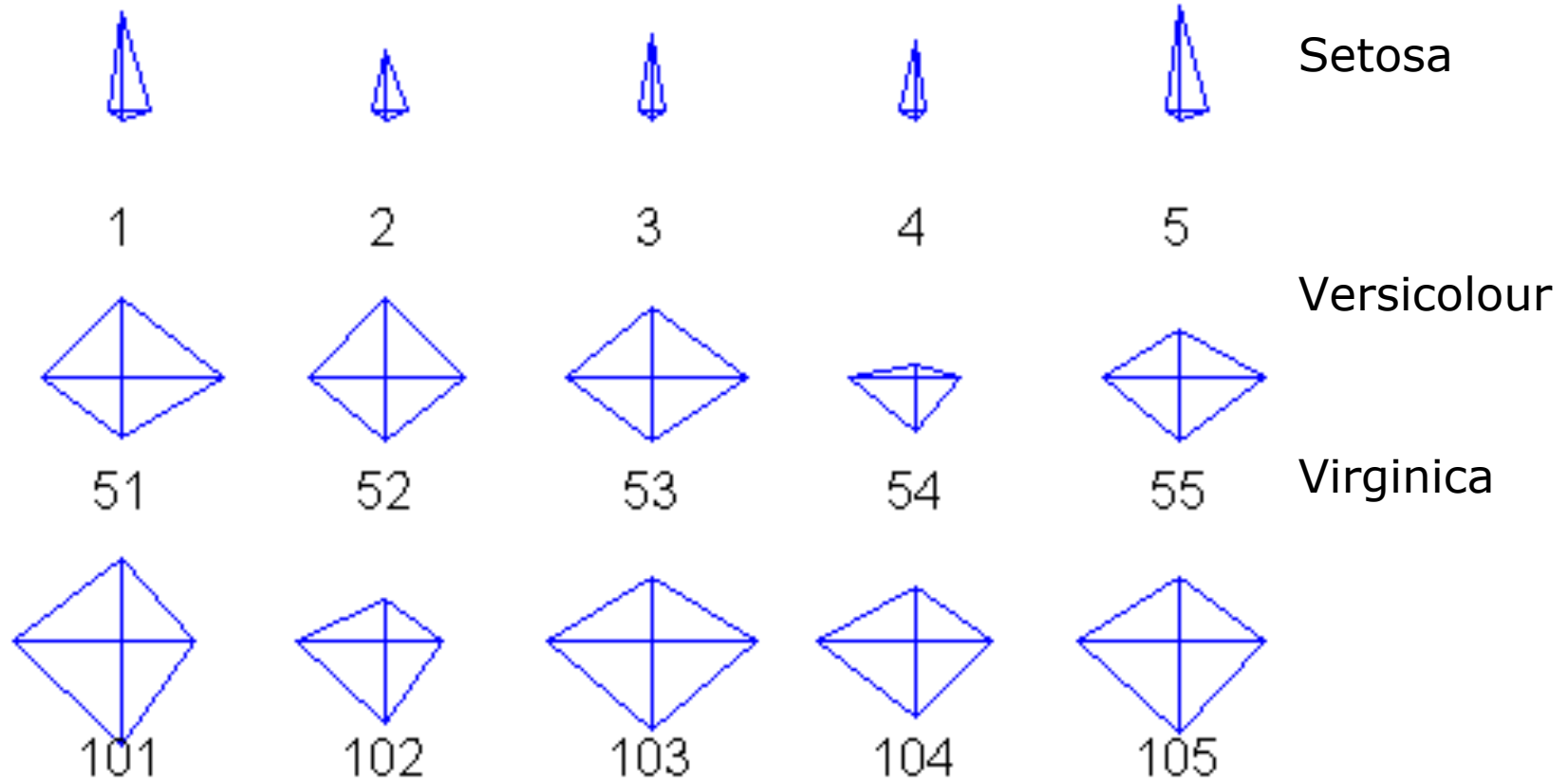
## ❑ Star Plots

- ▶ Similar approach to parallel coordinates, but axes radiate from a central point
- ▶ The line connecting the values of an object is a polygon

## ❑ Chernoff Faces

- ▶ Approach created by Herman Chernoff
- ▶ This approach associates each attribute with a characteristic of a face
- ▶ The values of each attribute determine the appearance of the corresponding facial characteristic
- ▶ Each object becomes a separate face
- ▶ Relies on human's ability to distinguish faces

# Star Plots for Iris Data



# Chernoff Faces for Iris Data



Setosa

1

2

3

4

5

Versicolour



51

52

53

54

55

Virginica



101

102

103

104

105



# Data Preprocessing

# Why Data Preprocessing?

- ❑ Data in the real world is dirty
- ❑ **Incomplete**: lacking attribute values, lacking certain attributes of interest, or containing only aggregate data
  - ▶ e.g., occupation=""
- ❑ **Noisy**: containing errors or outliers
  - ▶ e.g., Salary="-10"
- ❑ **Inconsistent**: containing discrepancies in codes or names
  - ▶ e.g., Age="42" Birthday="03/07/1997"
  - ▶ e.g., Was rating "1,2,3", now rating "A, B, C"
  - ▶ e.g., discrepancy between duplicate records

# Why Is Data Dirty?

- ❑ Incomplete data may come from
  - ▶ “Not applicable” data value when collected
  - ▶ Different considerations between the time when the data was collected and when it is analyzed.
  - ▶ Human/hardware/software problems
- ❑ Noisy data (incorrect values) may come from
  - ▶ Faulty data collection instruments
  - ▶ Human or computer error at data entry
  - ▶ Errors in data transmission
- ❑ Inconsistent data may come from
  - ▶ Different data sources
  - ▶ Functional dependency violation (e.g., modify some linked data)
- ❑ Duplicate records also need data cleaning

# Why Is Data Preprocessing Important?



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- ❑ No quality data, no quality mining results!
- ❑ Quality decisions must be based on quality data
  - ▶ E.g., duplicate or missing data may cause incorrect or even misleading statistics.
- ❑ Data warehouse needs consistent integration of quality data
- ❑ Data extraction, cleaning, and transformation comprises the majority of the work of building a data warehouse

# Data Quality

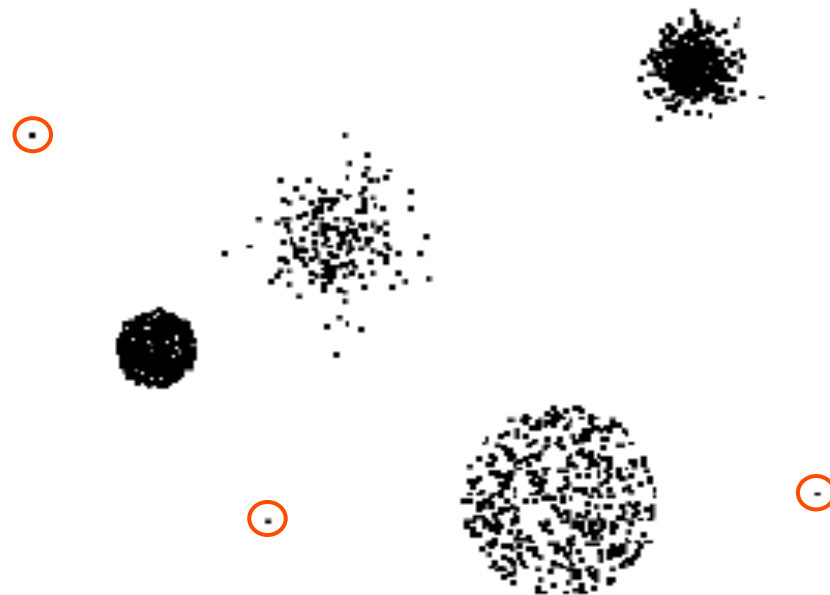


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- ❑ What kinds of data quality problems?
- ❑ How can we detect problems with the data?
- ❑ What can we do about these problems?
  
- ❑ Examples of data quality problems:
  - ▶ noise and outliers
  - ▶ missing values
  - ▶ duplicate data

# Outliers

- ❑ Outliers are data objects with characteristics that are considerably different than most of the other data objects in the data set



# Missing Values

- ❑ Reasons for missing values
  - ▶ Information is not collected (e.g., people decline to give their age and weight)
  - ▶ Attributes may not be applicable to all cases (e.g., annual income is not applicable to children)
  
- ❑ Handling missing values
  - ▶ Eliminate Data Objects
  - ▶ Estimate Missing Values
  - ▶ Ignore the Missing Value During Analysis
  - ▶ Replace with all possible values (weighted by their probabilities)

# Duplicate Data



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- ❑ Data set may include data objects that are duplicates, or almost duplicates of one another
- ❑ Major issue when merging data from heterogeneous sources
- ❑ Examples: same person with multiple email addresses
- ❑ Data cleaning: process of dealing with duplicate data issues



# Data Preprocessing

- Aggregation
- Sampling
- Dimensionality Reduction
- Feature subset selection
- Feature creation
- Discretization and Binarization

# Aggregation



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- ❑ Combining two or more attributes (or objects) into a single attribute (or object)
  
- ❑ Purpose
  - ▶ Data reduction: reduce the number of attributes or objects
  - ▶ Change of scale: cities aggregated into regions, states, countries, etc
  - ▶ More “stable” data: aggregated data tends to have less variability

# Sampling



- ❑ Sampling is the main technique employed for data selection
- ❑ It is often used for both the preliminary investigation of the data and the final data analysis.
- ❑ Statisticians sample because obtaining the entire set of data of interest is too expensive or time consuming
- ❑ Sampling is used in data mining because processing the entire set of data of interest is too expensive or time consuming
- ❑ Using a sample will work almost as well as using the entire data sets, if the sample is **representative**
- ❑ A sample is representative if it has approximately the same property (of interest) as the original set of data

# Types of Sampling

- ❑ Simple Random Sampling
  - ▶ There is an equal probability of selecting any particular item
- ❑ Sampling without replacement
  - ▶ As each item is selected, it is removed from the population
- ❑ Sampling with replacement
  - ▶ Objects are not removed from the population as they are selected for the sample.
  - ▶ In sampling with replacement, the same object can be picked up more than once
- ❑ Stratified sampling
  - ▶ Split the data into several partitions
  - ▶ Then draw random samples from each partition

# Dimensionality Reduction

## □ Purpose:

- ▶ Avoid curse of dimensionality
- ▶ Reduce amount of time and memory required by data mining algorithms
- ▶ Allow data to be more easily visualized
- ▶ May help to eliminate irrelevant features or reduce noise

## □ Techniques

- ▶ Principle Component Analysis
- ▶ Singular Value Decomposition
- ▶ Others: supervised and non-linear techniques

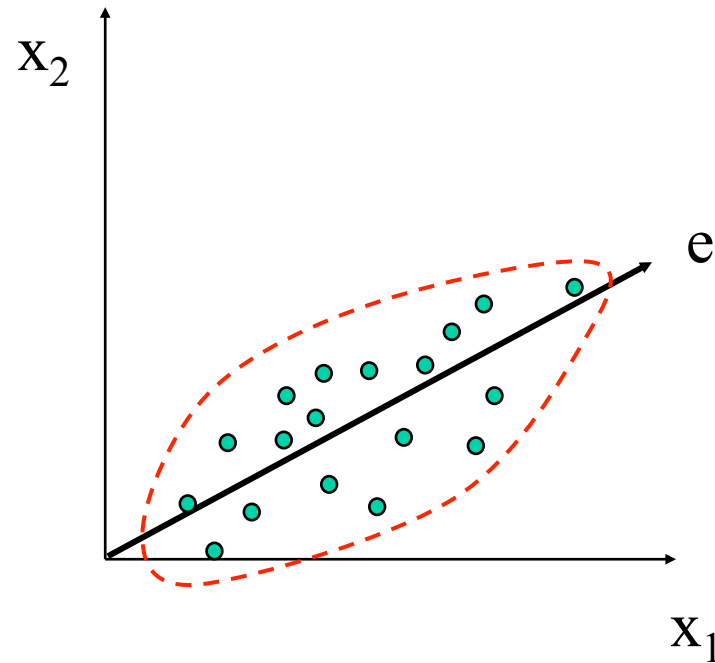
# Dimensionality Reduction:

## Principal Component Analysis (PCA)

- ❑ Given  $N$  data vectors from  $n$ -dimensions, find  $k \leq n$  orthogonal vectors (principal components) that can be best used to represent data
- ❑ Steps
  - ▶ Normalize input data: Each attribute falls within the same range
  - ▶ Compute  $k$  orthonormal (unit) vectors, i.e., principal components
  - ▶ Each input data (vector) is a linear combination of the  $k$  principal component vectors
  - ▶ The principal components are sorted in order of decreasing “significance” or strength
  - ▶ Since the components are sorted, the size of the data can be reduced by eliminating the weak components, i.e., those with low variance. (i.e., using the strongest principal components, it is possible to reconstruct a good approximation of the original data)
- ❑ Works for numeric data only
- ❑ Used when the number of dimensions is large

# Dimensionality Reduction: PCA

- Goal is to find a projection that captures the largest amount of variation in data



# Feature Subset Selection



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- ❑ Another way to reduce dimensionality of data
  
- ❑ Redundant features
  - ▶ duplicate much or all of the information contained in one or more other attributes
  - ▶ Example: purchase price of a product and the amount of sales tax paid
  
- ❑ Irrelevant features
  - ▶ contain no information that is useful for the data mining task at hand
  - ▶ Example: students' ID is often irrelevant to the task of predicting students' GPA



# Feature Subset Selection

- ❑ Brute-force approach
  - ▶ Try all possible feature subsets as input to data mining algorithm
- ❑ Embedded approaches
  - ▶ Feature selection occurs naturally as part of the data mining algorithm
- ❑ Filter approaches
  - ▶ Features are selected using a procedure that is independent from a specific data mining algorithm
  - ▶ E.g., attributes are selected based on correlation measures
- ❑ Wrapper approaches:
  - ▶ Use a data mining algorithm as a black box to find best subset of attributes
  - ▶ E.g., apply a genetic algorithm and an algorithm for decision tree to find the best set of features for a decision tree

# Feature Creation



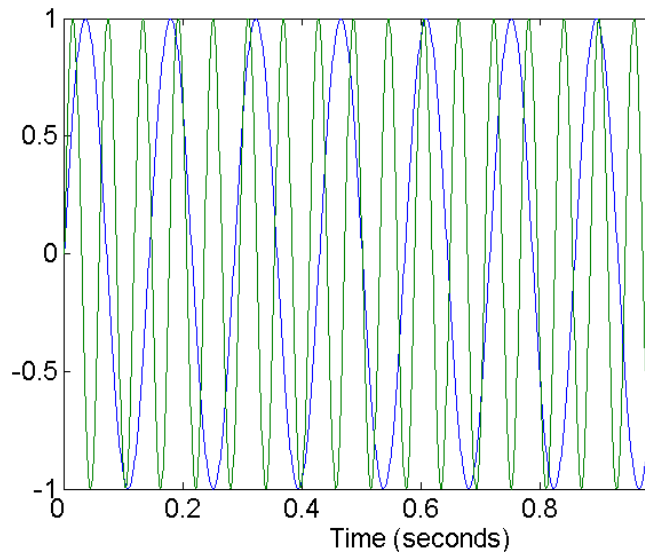
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- ❑ Create new attributes that can capture the important information in a data set much more efficiently than the original attributes
- ❑ E.g., given the birthday, create the attribute age
- ❑ Three general methodologies:
  - ▶ Feature Extraction: domain-specific
  - ▶ Mapping Data to New Space
  - ▶ Feature Construction: combining features

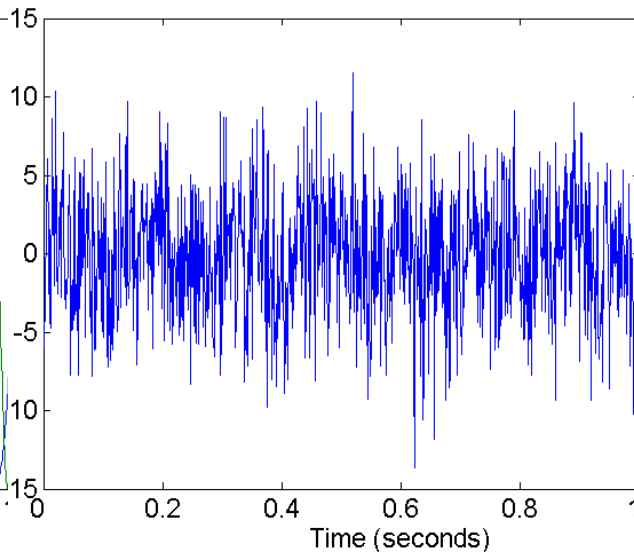
# Mapping Data to a New Space



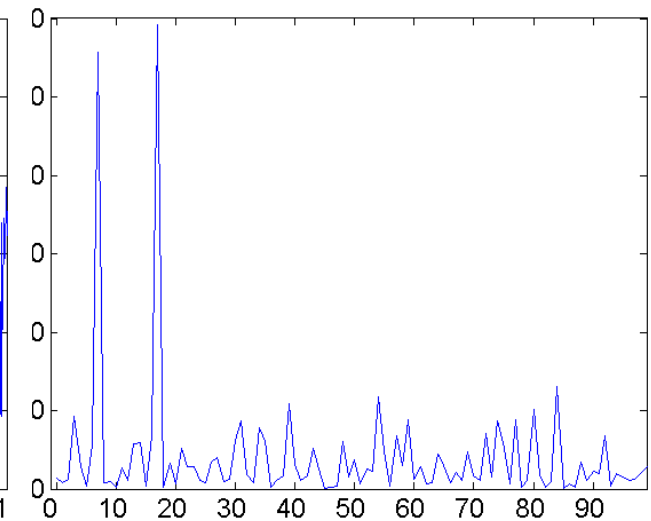
- Fourier transform
- Wavelet transform



**Two Sine Waves**



**Two Sine Waves + Noise**



**Frequency**

## □ Three types of attributes:

- ▶ Nominal: values from an unordered set, e.g., color
- ▶ Ordinal: values from an ordered set, e.g., military or academic rank
- ▶ Continuous: real numbers, e.g., integer or real numbers

## □ Discretization:

- ▶ Divide the range of a continuous attribute into intervals
- ▶ Some classification algorithms only accept categorical attributes
- ▶ Reduce data size by discretization
- ▶ Prepare for further analysis

# Discretization Approaches



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## ❑ Supervised

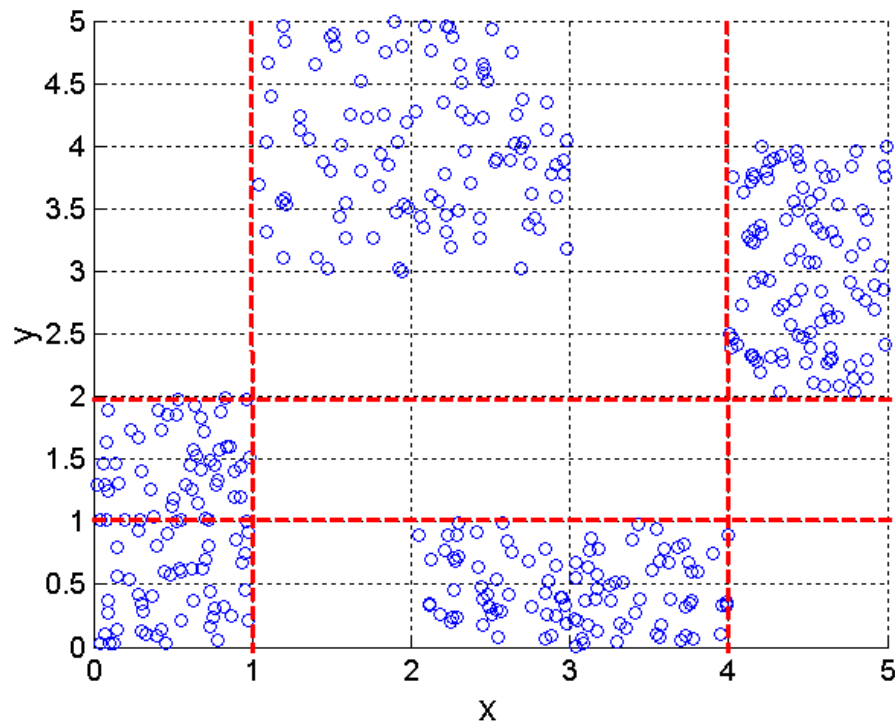
- ▶ Attributes are discretized using the class information
- ▶ Generates intervals that tries to minimize the loss of information about the class

## ❑ Unsupervised

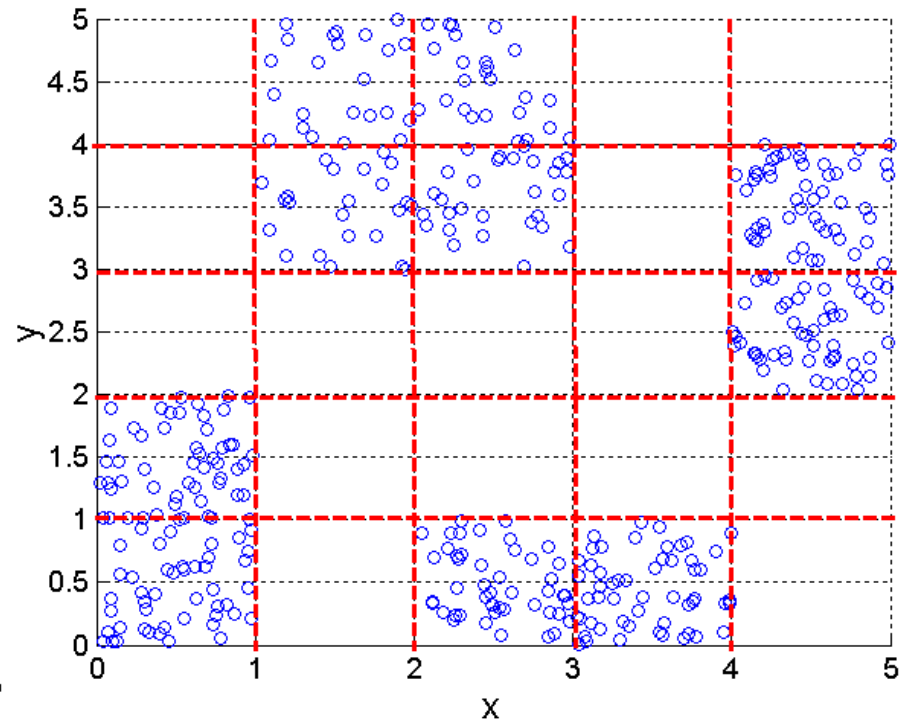
- ▶ Attributes are discretized solely based on their values

# Discretization Using Class Labels

- Entropy based approach

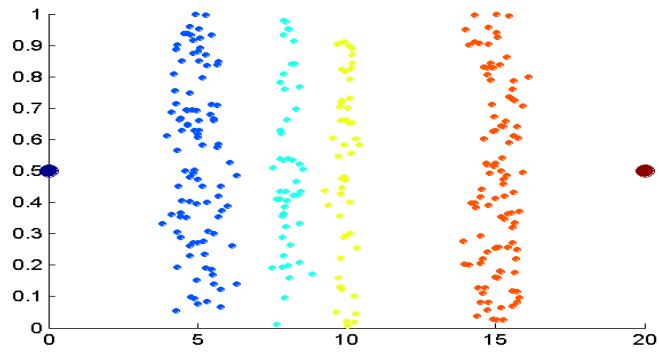


3 categories for both x and y

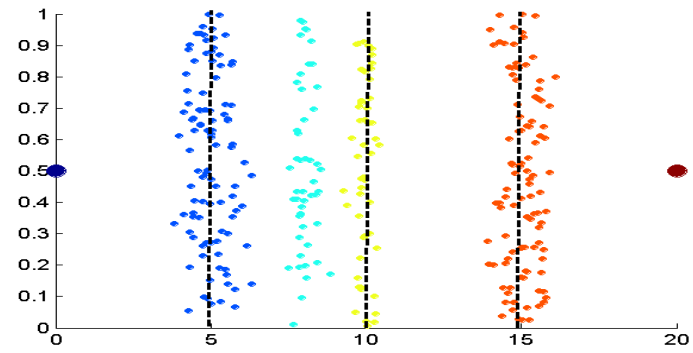


5 categories for both x and y

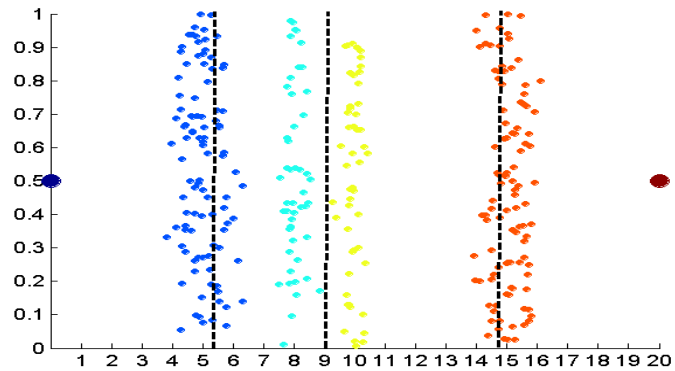
# Discretization Without Using Class Labels



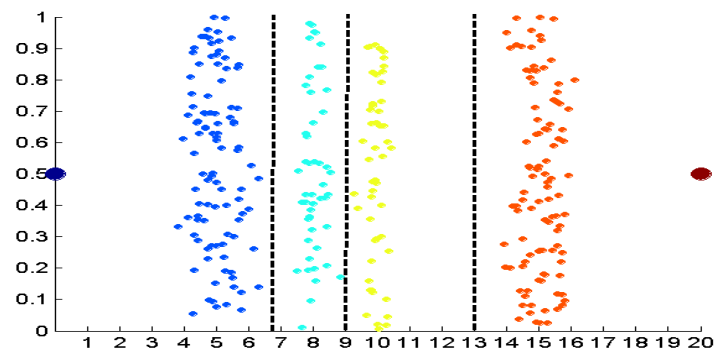
Data



Equal interval width



Equal frequency



K-means

# Segmentation by Natural Partitioning



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- ❑ A simply 3-4-5 rule can be used to segment numeric data into relatively uniform, “natural” intervals.
- ❑ If an interval covers 3, 6, 7 or 9 distinct values at the most significant digit, partition the range into 3 equi-width intervals (3 equal-width intervals for 3, 6, and 9; and 3 intervals in the grouping of 2-3-2 for 7)
- ❑ If it covers 2, 4, or 8 distinct values at the most significant digit, partition the range into 4 intervals
- ❑ If it covers 5 or 10 distinct values at the most significant digit, partition the range into 5 intervals



# Summary



- ❑ Data exploration and preparation, or preprocessing, is a big issue for both data warehousing and data mining
- ❑ Descriptive data summarization is need for quality data preprocessing
- ❑ Data preparation includes
  - ▶ Data cleaning and data integration
  - ▶ Data reduction and feature selection
  - ▶ Discretization
- ❑ A lot a methods have been developed but data preprocessing still an active area of research